

DEPT: SECRETARIAL

REF No. SEC/ST EX.STT/ 86 /2022-23

October 06, 2022

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| National Stock Exchange of India Ltd., Exchange Plaza, 5th Floor, Plot No.C/1, G Block, Bandra-Kurla Complex, Bandra (E), Mumbai – 400 051. SCRIP CODE: SOUTHBANK | BSE Ltd. Department of Corporate Services (Listing), First Floor, New Trading Wing, Rotunda Building, P J Towers, Dalal Street, Fort,Mumbai – 400 001. SCRIP CODE: 532218 |
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Dear Sirs,

Sub: SDD Compliance Certificate for the Quarter ended September 30, 2022

Pursuant to provisions of Regulation 3(5),3(6) and other applicable provisions of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015, please find enclosed the Structured Digital Database (SDD) Compliance Certificate for the Quarter ended September 30, 2022.

Kindly take the same in your records.

Yours faithfully,

(JIMMY MATHEW)
COMPANY SECRETARY

Encl: as above

COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED SEPTEMBER 30, 2022

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Jimmy Mathew, Compliance Officer of the company, have examined the following compliance requirement of The South Indian Bank Limited (Company/Bank) and certify that the Company has maintained a Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations):

| Sr. No | Compliance Requirement | Yes/No | Observation/ Remark |
|---------------|--|---------------|---|
| 1 | Whether the Company has a Structured Digital Database in place? | <u>Yes</u> | The Bank is maintaining Structured Digital Database |
| 2. | Whether control exists as to who can access the SDD for read/ write along with the names and PAN of such person? | <u>Yes</u> | Access is permitted to the Designated employees only. The list is updated by the Compliance Officer of the Bank from time to time to enter UPSI as per SEBI guidelines. The Name and PAN are captured in each sharing / receipt entry of UPSI by designated person. |
| 3. | Whether all the UPSI have been captured in the Database. If not details of events that have not been captured and the reason for the same? | <u>Yes</u> | The Bank has captured all the events of UPSI. |
| 4. | Whether the recipient were upfront informed that the information which they will be receiving shortly is UPSI and the entry has been captured in the Database prior to forwarding the UPSI data. If not details of events that have not been captured and the reason for the same? | <u>Yes</u> | The respective designated employees have communicated the same upfront to the Recipient of UPSI. Further entries have been made by the Designated employees with respect to sharing and receipt of UPSI in structured database maintained by the Bank |
| 5. | Whether nature of UPSI have been captured along with date and time? | <u>Yes</u> | Brief details along with purpose, date and time with other remarks specified by Designated person are captured in the database. |
| 6. | Whether name of persons who have shared the information has been captured along with PAN or any other identifier? | <u>Yes</u> | Specific data with Name, PAN/ identification number has been captured in the database for all receipt of UPSI |
| 7. | Whether name of persons with whom information is shared has | <u>Yes</u> | Specific data with Name, PAN/ identification number has been |

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| | been captured along with PAN or any other identifier? | | captured in the database for all sharing of UPSI |
| 8. | Whether the database has been maintained internally? | <u>Yes</u> | The Bank is maintaining structured database as stipulated by SEBI in Internal Application hosted in Bank's server |
| 9. | Whether audit trail is maintained? | <u>Yes</u> | Details of all entries with details of persons, identification numbers, other particulars as stipulated by SEBI with timestamp of entry, sharing and receipt details are maintained for audit trial with time stamping. |
| 10. | Whether time stamping is maintained? | <u>Yes</u> | |
| 11. | Whether the database is non-tamperable? | <u>Yes</u> | The entry once made are non-modifiable and database is non-tamperable. |
| 12. | Any other measures to ensure non-tamperability of the Database? | <u>Yes</u> | The Bank is maintaining structured database as stipulated by SEBI in Internal Application hosted in Bank's server. The report of all entries made in this regard are made available to Chief Investor Relations Officer, Compliance Officer and Secretarial team of the Bank. The Bank has internal IT, Financial and operational controls which are subject to periodical audits. |

Note: The information of the audit should cover the period when such information was inserted in the SDD upto the date of disclosure.

The number of days for which non-compliance was observed: Nil

Further I also confirm that the Company was required to capture 1 number of events during the quarter ended September, 30 2022 and has captured 1 number of the said required events.

For The South Indian Bank Limited

(JIMMY MATHEW)
Compliance Officer & Company Secretary

Date: 06 Oct, 2022
Place: Thrissur