

DEPT.: SECRETARIAL

REF. No. : SEC/ST.EX.STT/ 101 /2023-24

DATE : August 25, 2023



National Stock Exchange of India Ltd., Exchange Plaza, 5th Floor, Plot No.C/1, G Block, Bandra-Kurla Complex, Bandra (E), Mumbai – 400 051. SCRIP CODE: SOUTHBANK	BSE Ltd. Department of Corporate Services (Listing), First Floor, New Trading Wing, Rotunda Building, P J Towers, Dalal Street, Fort, Mumbai – 400 001. SCRIP CODE: 532218
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Dear Madam/Sir,

**Sub: Intimation as per Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015**

We are submitting herewith the reports on intimation and action taken by the Bank in Annexure A for violation of code of conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015 read with SEBI Master Circular No. SEBI/HO/ISD/ISD-PoD-2/P/CIR/2023/039 dated March 23, 2023.

Please take on record the intimations annexed (as Annexure A) to this letter pursuant to Schedule B read with Regulation 9 (1) of Regulations of SEBI (Prohibition of Insider Trading) Regulations, 2015 and other applicable circulars / guidelines issued by SEBI in this regard.

The aforesaid information is also being hosted on the website of the Bank [www.southindianbank.com](http://www.southindianbank.com).

Yours faithfully,

(JIMMY MATHEW)  
COMPANY SECRETARY

Encl: as above

**Annexure A**

**Report by  
The South Indian Bank Ltd  
for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading)  
Regulations, 2015.**

[For listed company: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading)  
Regulations, 2015

Sl. No.	Particulars	Details										
1	Name of the listed company/ Intermediary/ Fiduciary	<b>The South Indian Bank Ltd</b>										
2	Please tick appropriate checkbox Reporting in capacity of: <input type="checkbox"/> Listed Company✓ <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company										
3	A. Details of Designated Person (DP)											
	i. Name of the DP	Mr. Jibin Jose										
	ii. PAN of the DP	ARWPJ7717J										
	iii. Designation of DP	Manager (Scale II officer)										
	iv. Functional Role of DP	Manager (Scale II officer), IRMD, Head Office, Thrissur										
	v. Whether DP is Promoter or belongs to Promoter Group	No										
	B. If Reporting is for immediate relative of DP											
	i. Name of the immediate relative of DP	NA										
	ii. PAN of the immediate relative of DP	NA										
	C. Details of transaction(s)											
	i. Name of the scrip	The South Indian Bank Limited (NSE: SOUTHANK/ BSE: 532218)										
	ii. No of shares traded and value (Rs.) (Date-wise)	<table border="1"> <thead> <tr> <th>Nature</th> <th>Date</th> <th>Quantity</th> <th>Rate</th> <th>Transaction value</th> </tr> </thead> <tbody> <tr> <td>Sell</td> <td>21.07.2023</td> <td>1100</td> <td>Rs. 21.10</td> <td>Rs.23,210.00</td> </tr> </tbody> </table>	Nature	Date	Quantity	Rate	Transaction value	Sell	21.07.2023	1100	Rs. 21.10	Rs.23,210.00
Nature	Date	Quantity	Rate	Transaction value								
Sell	21.07.2023	1100	Rs. 21.10	Rs.23,210.00								
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter											
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	NA										
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	NA										

4	Details of violations observed under Code of Conduct	Mr. Jibin Jose Manager (Scale II officer), IRMD, Head Office, Thrissur , has sold 1100 equity shares of face value of Re.1.00 each during trading window closure period
5	Action taken by Listed company/ Intermediary/ Fiduciary	The Board/Audit Committee has directed to collect penalty of Rs. 4686.00 and remit the same to SEBI-IPEF account. In addition Issued warning letter as per the Banks's policy on code of conduct for prevention of insider trading.
6	Reasons recorded in writing for taking action stated above	Being this was the first instance of violation and the traded quantity was less, in order to up-keep the compliance culture of the Bank and also to avoid the recurrence, a penalty is levied and warning letter was issued as per Bank's policy and SEBI guidelines as decided by the Board at its meeting held on 24.08.2023.
7	Details of the previous instances of violations, if any, since last financial year	Nil
8	If any amount collected for Code of Conduct violation(s)	
	i. Mode of transfer to SEBI – IPEF (Online / Demand Draft)	Online
	ii. Details of transfer/payment	
	<b>Particulars</b>	<b>Details</b>
	Name of the transferor	The South Indian Bank Ltd.
	Bank Name, Branch and Account No.	The South Indian Bank Ltd. Mission Quarters Branch, Thrissur 0299073000000700
	UTR/Transaction reference No.	SIBLN23237136718
	Transaction date	25-08-2023
	Transaction Amount	Rs. 4686.00
9	Any other relevant information	The amount has been transferred to SEBI- IPEF account maintained at Bank of India, Bandra Kurla Complex( BKC), ( Account No: 012210210000008, IFSC: BKID0000122) on 30th March, 2022 in compliance with SEBI Master Circular No. SEBI/HO/ISD/ISD-PoD-2/P/CIR/2023/039 dated March 23, 2023.

Yours faithfully,

Jimmy Mathew  
Compliance Officer & Company Secretary  
PAN: AOMPM8539G  
Email id: jimmyathew@sib.co.in