

**Form No. MGT-9**  
**EXTRACT OF ANNUAL RETURN**  
**as on the financial year ended on 31 March 2021**

[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

**I. REGISTRATION AND OTHER DETAILS:**

- (i) CIN : L65191KL1929PLC001017
- (ii) Registration Date : 25/01/1929
- (iii) Name of the Company : The South Indian Bank Limited
- (iv) Category/Sub Category of the Company : Company Limited by Shares / Non Govt Company
- (v) Address of the Registered office and contact details : The South India Bank Ltd.  
SIB House, T.B Road  
Mission Quarters  
Thrissur 680 001 Kerala, India  
Email-HO2006@SIB.CO.IN  
Ph No-0487-2420020
- (vi) Whether Listed Company : Yes
- (vii) Name Address and Contact Details of Registrar and Transfer Agent, If any : M/s BTS Consultancy Services Pvt. Ltd.  
MS Complex, 1st Floor, No. 8,  
Sastri Nagar, Near 200 Feet  
Road/RTO Kolathur, Kolathur,  
Chennai 600099. Tel: 044-  
25565121, FAX:044-25565131  
Email:ramesh@btsindia.co.in/  
helpdesk@btsindia.co.in

**II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY**

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:-

Sl. No.	Name and Description of main products / services	NIC Code of the Product/ service	% to total turnover of the company
1	Banking Services	64191	100%

**III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES -**

S. NO	NAME AND ADDRESS OF THE COMPANY	CIN/GLN	HOLDING/ SUBSIDIARY / ASSOCIATE	% of shares held	Applicable Section
1	NIL				

### *i) Category-wise Share Holding*

[illegible]

<b>Total shareholdin g of Promoter (A) = (A)(1)+(A)(2)</b>	0	0	0	0	0	0	0	0	0
<b>B. Public Shareholding</b>									
<b>1.Institutions</b>									
<b>a) Mutual Funds</b>	64062568	0	64062568	3.54	15493909	0	15493909	0.74	-2.80
<b>b) Banks / FI</b>	8528992	0	8528992	0.47	3149334	0	3149334	0.15	-0.32
<b>c) Central Govt</b>	0	0	0	0.00	0	0	0	0.00	0.00
<b>d) State Govt(s)</b>	0	0	0	0.00	0	0	0	0.00	0.00
<b>e) Venture Capital Funds</b>	0	0	0	0.00	0	0	0	0.00	0.00
<b>f) Insurance Companies</b>	62332112	0	62332112	3.44	358071657	0	358071657	17.11	13.67
<b>g) FIIs</b>	0	0	0	0.00	0	0	0	0.00	0.00
<b>h)Foreign Venture Capital Funds</b>	0	0	0	0.00	0	0	0	0.00	0.00
<b>i)Others (specify)</b>	0	0	0	0.00	0	0	0	0.00	0.00
<b>Sub-total (B)(1):-</b>	<b>134923672</b>	<b>0</b>	<b>134923672</b>	<b>7.46</b>	<b>376714900</b>	<b>0</b>	<b>376714900</b>	<b>18.00</b>	<b>10.55</b>
<b>2. Non-Institutions</b>									
<b>a) Bodies Corp.</b>									
<b>i) Indian</b>	99091438	2792515	101883953	5.63	68900967	2792485	71693452	3.43	-2.20
<b>ii) Overseas</b>	0	0	0	0.00	0	0	0	0.00	0.00
<b>b) Individuals</b>									
<b>i) Individual shareholders holding nominal share capital upto Rs. 1 lakh</b>	686238200	58257586	744495786	41.14	912924974	57238789	970163763	46.36	5.22
<b>ii) Individual shareholders holding nominal share capital in</b>	255300608	4307391	259607999	14.35	288710166	4207391	292917557	14.00	-0.35

excess of Rs 1 lakh									
c) Others (specify)	0	0	0	0.00	0	0	0	0.00	0.00
Qualified Foreign Investor	0	0	0	0.00	0	0	0	0.00	0.00
Trust	47792	0	47792	0.00	39641	0	39641	0.00	0.00
Clearing member	9772254	0	9772254	0.54	18155571	0	18155571	0.87	0.33
HUF	29491389	466	29491855	1.63	42440820	466	42441286	2.03	0.40
Directors & their relatives	1717654	480696	2198350	0.12	957715	475280	1432995	0.07	-0.05
Escrow Account	0	0	0		0	0	0	0.00	0.00
Non Resident Indians	163222487	9273033	172495520	9.53	148756929	9139599	157896528	7.54	-1.99
ESOS	0	0	0		0	0	0	0.00	0.00
Foreign Nationals	262100	0	262100	0.01	262100	0	262100	0.01	0.00
Foreign Portfolio Investor ( corporate)	345673973	0	345673973	19.10	152060396	0	152060396	7.27	-11.83
Foreign Portfolio Investor ( INDV)	136548	0	136548	0.01	3548	0	3548	0.00	-0.01
Alternate Investment Funds	1128700	0	1128700	0.06	941105	0	941105	0.04	-0.02
IEPF Authority	7603649	0	7603649	0.42	8018176	0	8018176	0.38	-0.04
QIB									
Sub-total (B)(2):-	1599686792	75111687	1674798479	92.54	1642172108	73854010	1716026118	82.00	-10.55
Total Public Shareholding (B)=(B)(1)+ (B)(2)	1734610464	75111687	1809722151	100.00	2018887008	73854010	2092741018	100.00	0.00
C. Shares held by Custodian for GDRs & ADRs	0	0	0	0	0	0	0	0	0
Grand Total (A+B+C)	1734610464	75111687	1809722151	100.00	2018887008	73854010	2092741018	100.00	0.00

**(ii) Shareholding of Promoters/Promoters Group**

Sl No.	Shareholder's Name	Shareholding at the beginning of the year			Share holding at the end of the year			
		No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	% change in shareholding during the year
<b>NIL</b>								

**(iii) Change in Promoters' Shareholding ( please specify, if there is no change)**

Sl. No.		Shareholding at the beginning of the year (as on April 1, 2019 i.e. on the basis of SHP of March 31, 2019)		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	0	0	0	0
	Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase	-	-	-	-
	At the End of the year	0	0	0	0

**(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):**

Sl. No.	Name of share holder	Shareholding at the beginning of the year (as on April 1, 2020 i.e. on the basis of SHP of March 31, 2020)		Change in Share holding ( No of Shares)#		Share holding at the end of the year	
		No. of shares	% of total shares of the company	Increase	Decrease	No. of shares	% of total shares of the company
1	Yusuffali Musaliam Veettil Abdul Kader .	90059249	4.98	300000	-	90359249	4.32
2	Kotak Mahindra Life Insurance Company Ltd.	0	0.00	88443396	-	88443396	4.23
3	SBI Life Insurance Co. Ltd	0	0.00	88443396	-	88443396	4.23
4	HDFC Life Insurance Company Limited	0	0.00	88443396	-	88443396	4.23
5	Life Insurance Corporation Of India	58319232	3.22	-	-	58319232	2.79
6	Acacia Banyan Partners	31210267	1.72	-	-	31210267	1.49
7	Acacia Partners~Lp	24985520	1.38	-	-	24985520	1.19
8	Acacia Institutional Partners~Lp	20700000	1.14	-	-	20700000	0.99
9	ICICI Lombard General Insurance Company Ltd	0	0.00	17688679	-	17688679	0.85
10	ICICI Prudential Banking And Financial Services Fund	44160076	2.44	-	-28701491	15458585	0.74

*Note: Top Ten shareholders of the Bank as on March 31, 2021 has been considered for the above disclosure.*

*# The shares of the Bank are substantially held in dematerialized form, and are traded on a daily basis and hence the date wise increase / decrease shareholding is not indicated .*

**(v) Shareholding of Directors and Key Managerial Personnel:**

Sl.No.	Name of Director and KMP	Shareholding at the beginning of the year		Change in Shareholding (No of Shareholding)		Shareholding at the end of the year	
		No. of shares	% of total shares of the Bank	Increase	Decrease	No. of shares	% of total shares of the Bank
	<b>Directors</b>						
1	Salim Gangadharan	20000	0.00	0	0	20000	0.00
2	Murali Ramakrishnan	0	0.00	40000	0	100000	0.00
3	Francis Alapatt	220000	0.01	0	0	220000	0.01
4	Ranjana Shivanand Salgaocar	83666	0.00	0	0	83666	0.00
5	Parayil George John Tharakan	21874	0.00	0	0	21874	0.00
6	V J Kurian	0	0.00	0	0	0	0.00
7	M George Korah	0	0.00	0	0	0	0.00
8	Pradeep M Godbole	0	0.00	0	0	0	0.00
9	Paul Antony	10470	0.00		0	10470	0.00
10	R A Sankara Narayanan	0	0.00	0	0	0	0.00

	<b>KMPs other than MD &amp; CEO</b>						
1	Thomas Joseph K. - Executive Vice President (Operations)	113962	0.01	0	0	113962	0.01
4	Smt Chithra H -CFO	79842	0.00	0	0	79842	0.00
5	Jimmy Mathew - Company Secretary	7000	0.00	0	0	7000	0.00
6	Raphael T. J.- Chief Information Officer	88968	0.00	0	0	88968	0.00
7	Anto George T - Chief of Inspection & Vigilance	38310	0.00	0	0	38310	0.00
8	Biju E Punnachalil - Chief Risk Officer	55840	0.00	0	0	55840	0.00

*Directors and Key Managerial Personnel of the Bank as on March 31, 2021 has been considered for the above disclosure.*

## V. INDEBTEDNESS

Indebtedness of the Bank including interest outstanding/accrued but not due for payment

(Rs. In Crores rounded to nearest integer)

Particulars	Secured Loan excluding deposit	Unsecured loans	Deposit	Total Indebtedness
<b>Indebtedness at the beginning of the financial Year</b>				
i)Principal Amount	1,294	5,600	-	6,893
ii)Interest due but not paid	-	-	-	-
iii)Interest accrued but not due	3	41	-	44
<b>TOTAL (i+ii+iii)</b>	<b>1,296</b>	<b>5,640</b>	<b>-</b>	<b>6,937</b>
<b>Change in Indebtedness during the financial year</b>				
Addition	135587	2079		137666
Reduction	(136883)	(3575)		(140458)
<b>Net Change</b>	<b>(1296)</b>	<b>(1495)</b>		<b>(2792)</b>
<b>Indebtedness at the end of the financial year</b>				
i)Principal Amount		4108		4108
ii)Interest due but not paid	-	-	-	-
iii)Interest accrued but not due		37		37
<b>Total (i+ii+iii)</b>		<b>4145</b>		<b>4145</b>



## VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

### A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

Sl. no.	Particulars of Remuneration	Name of MD/WTD/Manager			
		V.G. Mathew (MD&CEO)*\$	Murali Ramakrishnan (MD & CEO)*	WTD /Manager	Total Amount
1	<b>Gross salary</b>				
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	69,98,400.00	79,41,000.00	0	1,49,39,400.00
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	7,95,042.00	7,44,081.00	0	15,39,123.00
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	0	0	0	0
2	Stock Option				
	Granted during the year	0	0	0	0
	Exercised during the year	0	0	0	0
3	Sweat Equity	0	0	0	0
4	Commission	0	0	0	0
	- as % of profit	0	0	0	0
	- others, specify...	0	0	0	0
5	Others, please specify	0	0	0	0
	Total (A) (Total Remuneration does not include the number of Stock Options)	77,93,442.00	86,85,081.00	0	1,64,78,523.00
	Ceiling as per the Act #				Refer Note'

*\$excluding end of Term Benefits.*

#**Note:** In terms of the provisions of the Companies Act, 2013, the remuneration payable to MD & CEO shall not exceed 5% of the net profit of the Bank. The remuneration paid to MD & CEO is well within the limit.

\* Subject to the approval of RBI.

@ Mr V G Mathew retired on 30.09.2020 after closure of business hours

*B. Remuneration to other directors:*

[illegible]

Total Managerial Remuneration									
Overall Ceiling as per the Act #									Refer Note'

\* Dr John Joseph retired on 23.09.2021

@ Sri. Achal Kumar Guptha retired on 29.09.2021

# **Note:** In terms of the provisions of the Companies Act, 2013, the remuneration payable to directors other than executive directors shall not exceed 1% of the net profit of the Bank. The remuneration payable to the Directors is well within the said limit.

**C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD**

Sl. no.	Particulars of Remuneration	Key Managerial personnel								Total Amount
		Thomas Joseph K EVP	Sivakumar G EVP (Credit)@	Reghunathan KN EVP (Treasury)@	Chithra H CFO	Jimmy Mathew, Company Secretary	Raphael TJ C.I.O	Anto George T Chief of Inspection & Vigilance	Biju E Punnachalil C.R.O	
1	Gross salary									
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	41,99,110	25,79,121	31,04,516	29,32,890	31,57,120	32,94,810	31,02,159	27,27,380	2,50,97,106
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	39,600	3,50,860	5,78,556	32,400	32,400	32,400	42,051	34,070	11,42,337

	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
2	Stock Option	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Granted during the year	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Exercised during the year	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
3	Sweat Equity	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
4	Commission	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	- as % of profit	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	- others, specify...	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
5	Others, please specify	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Total (A) (Total Remuneration does not include the number of Stock Options)	42,38,710	29,29,981	36,83,072	29,65,290	31,89,520	33,27,210	31,44,210	27,61,450	2,62,39,443

\* Superannuation benefits excluded.  
@ retired on 13<sup>th</sup> Dec, 2020.

**VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:**

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
<b>A. Company</b>	Nil		Nil		
Penalty					
Punishment					
Compounding					
<b>B. Directors</b>	Nil		Nil		
Penalty					
Punishment					
Compounding					
<b>C. Other Officers in default</b>	Nil		Nil		
Penalty					
Punishment					
Compounding					

(SALIM GANGADHARAN)

CHAIRMAN

DIN-06796232

Place : Thrissur

Date : July 22, 2021

(Murali Ramakrishnan)

MANAGING DIRECTOR & C.E.O

DIN:1028298



# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11 of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

## Annual Return (other than OPCs and Small Companies)

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

### I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

L65191KL1929PLC001017

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AABCT0022F

(ii) (a) Name of the company

THE SOUTH INDIAN BANK LIM

(b) Registered office address

S I B HOUSE MISSION QUARTERST B ROAD  
THRISSUR  
Kerala  
680001  
India

(c) \*e-mail ID of the company

ho2006@sib.co.in

(d) \*Telephone number with STD code

04872420020

(e) Website

www.southindianbank.com

(iii) Date of Incorporation

25/01/1929

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

☒ Yes ☐ No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

☒ Yes ☐ No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	National Stock Exchange of India Ltd	1,024
2	BSE Limited	1

(b) CIN of the Registrar and Transfer Agent

U74999TN2005PTC055403

Pre-fill

Name of the Registrar and Transfer Agent

BTS CONSULTANCY SERVICES PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

M.S. Complex, Plot No. 8 (Near RTO, Kolathur)  
Sastri Nagar, Rettary, Kolathur

(vii) \*Financial year From date 01/04/2020 (DD/MM/YYYY) To date 31/03/2021 (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM

(b) Due date of AGM

30/09/2021

(c) Whether any extension for AGM granted

☐ Yes ☒ No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K1	Banking activities by Central, Commercial and Saving banks	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

0

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY



(i) \*SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	3,500,000,000	2,092,741,018	2,092,741,018	2,092,741,018
Total amount of equity shares (in Rupees)	3,500,000,000	2,092,741,018	2,092,741,018	2,092,741,018

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity shares of Re 1/- each				
Number of equity shares	3,500,000,000	2,092,741,018	2,092,741,018	2,092,741,018
Nominal value per share (in rupees)	1	1	1	1
Total amount of equity shares (in rupees)	3,500,000,000	2,092,741,018	2,092,741,018	2,092,741,018

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
Equity shares	Physical	DEMAT	Total			
At the beginning of the year	75,111,687	1,734,610,418	1,809,722,105	1,809,722,105	1,809,722,105	

<b>Increase during the year</b>	0	284,276,544	284276544	284,276,544	284,276,544	2,116,981,111
i. Public Issues	0	0	0	0	0	
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	283,018,867	283018867	283,018,867	283,018,867	2,116,981,111
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify		1,257,677	1257677	1,257,677	1,257,677	
Physical shares converted to Demat						
<b>Decrease during the year</b>	1,257,677	0	1257677	1,257,677	1,257,677	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify	1,257,677		1257677	1,257,677	1,257,677	
Physical shares converted to Demat						
<b>At the end of the year</b>	73,854,010	2,018,887,020	2092741018	2,092,741,020	2,092,741,020	
<b>Preference shares</b>						
<b>At the beginning of the year</b>	0	0	0	0	0	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0

ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

INE683A01023

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \*

☒ Nil

[Details being provided in a CD/Digital Media]

☐ Yes ☐ No ☐ Not Applicable

Separate sheet attached for details of transfers

☐ Yes ☐ No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		
Date of registration of transfer (Date Month Year)		
Type of transfer		1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)

Ledger Folio of Transferor							
Transferor's Name							
		Surname		middle name		first name	
Ledger Folio of Transferee							
Transferee's Name							
		Surname		middle name		first name	

Date of registration of transfer (Date Month Year)							
Type of transfer				1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock			
Number of Shares/ Debentures/ Units Transferred				Amount per Share/ Debenture/Unit (in Rs.)			
Ledger Folio of Transferor							
Transferor's Name							
		Surname		middle name		first name	
Ledger Folio of Transferee							
Transferee's Name							
		Surname		middle name		first name	

(iv) \*Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	127,000	1000000,100000	15,400,000,000
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0

Particulars	Number of units	Nominal value per unit	Total value
Total			15,400,000,000

**Details of debentures**

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	17,400,000,000	0	2,000,000,000	15,400,000,000
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

**(v) Securities (other than shares and debentures)**

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)**

**(i) Turnover**

84,909,223,624.85

**(ii) Net worth of the Company**

53,113,942,233.88

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	

3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	<b>Total</b>	0	0	0	0

**Total number of shareholders (promoters)**

0

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	1,263,081,320	60.36	0	
	(ii) Non-resident Indian (NRI)	157,896,528	7.54	0	
	(iii) Foreign national (other than NRI)	262,100	0.01	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	358,071,657	17.11	0	
4.	Banks	3,149,334	0.15	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	15,493,909	0.74	0	

8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	71,693,452	3.43	0	
10.	Others Trust, Clearng Membr, FPIC, F	223,092,718	10.66	0	
	<b>Total</b>	2,092,741,018	100	0	0

Total number of shareholders (other than promoters) 553,557

Total number of shareholders (Promoters+Public/  
Other than promoters) 553,557

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS**  
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	0	0
Members (other than promoters)	440,646	553,557
Debenture holders	2,526	3,493

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	0	0	0	0	0	0
<b>B. Non-Promoter</b>	1	9	1	9	0	0
(i) Non-Independent	1	3	1	3	0	0
(ii) Independent	0	6	0	6	0	0
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0

(v) Others	0	0	0	0	0	0
Total	1	9	1	9	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date 12

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
RANJANA SHIVANAND	00120120	Director	83,666	
MURALI RAMAKRISHN	01028298	Managing Director	100,000	
PALATHINGAL ANTON	01419486	Director	220,000	
KURIAN VATTAVAYAL	01806859	Director	0	
PAUL ANTONY	02239492	Director	10,470	
SANKARANARAYANAN	05230407	Additional director	0	
SALIM GANGADHARAN	06796232	Director	20,000	
JOHN PARAYIL GEORGE	07018289	Director	21,874	
MAZHUVANCHERI KO	08207827	Director	0	
PRADEEP GODBOLE	08259944	Director	0	
JIMMY MATHEW	AOMPM8539G	Company Secretary	7,000	
CHITHRA HARIHARAN	AALPH2175C	CFO	79,842	

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

6

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
VARIATHUKALA GEORGE	05332797	Managing Director	30/09/2020	Cessation
MURALI RAMAKRISHN	01028298	Managing Director	01/10/2020	Appointment
SANKARANARAYANAN	05230407	Additional director	15/10/2020	Appointment
ACHAL KUMAR GUPTA	02192183	Director	29/09/2020	Cessation
PAUL ANTONY	02239492	Director	29/09/2020	Appointment
JOSEPH JOHN ALAPPA	00021735	Director	23/09/2020	Cessation



## IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

### A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

2

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	29/09/2020	549,221	215	0.42
Extra Ordinary General Meeting	23/03/2021	551,529	122	0.12

### B. BOARD MEETINGS

\*Number of meetings held

12

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	16/04/2020	10	10	100
2	29/04/2020	10	10	100
3	06/06/2020	10	10	100
4	26/06/2020	10	10	100
5	08/07/2020	10	10	100
6	04/09/2020	10	10	100
7	28/09/2020	9	9	100
8	15/10/2020	10	10	100
9	26/11/2020	10	10	100
10	21/01/2021	10	10	100
11	25/02/2021	10	10	100
12	30/03/2021	10	10	100

### C. COMMITTEE MEETINGS

Number of meetings held

35

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	AUDIT COMM	28/04/2020	5	5	100
2	AUDIT COMM	25/06/2020	5	5	100
3	AUDIT COMM	07/07/2020	5	5	100
4	AUDIT COMM	03/09/2020	5	5	100
5	AUDIT COMM	14/10/2020	4	3	75
6	AUDIT COMM	12/11/2020	5	5	100
7	AUDIT COMM	20/01/2021	5	5	100
8	AUDIT COMM	24/02/2021	5	5	100
9	AUDIT COMM	23/03/2021	5	5	100
10	STAKEHOLDER	29/04/2020	4	4	100

**D. \*ATTENDANCE OF DIRECTORS**

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
								(Y/N/NA)
1	RANJANA SH	12	12	100	16	16	100	
2	MURALI RAM	5	5	100	4	4	100	
3	PALATHINGA	12	12	100	11	10	90.91	
4	KURIAN VATT	12	12	100	30	30	100	
5	PAUL ANTON	5	5	100	0	0	0	
6	SANKARANA	5	5	100	4	4	100	
7	SALIM GANG	12	12	100	18	18	100	
8	JOHN PARAY	12	12	100	19	19	100	
9	MAZHUVANC	12	12	100	19	19	100	
10	PRADEEP GC	12	12	100	13	13	100	

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	VARIATHUKALA G	Managing Direct	7,793,442				7,793,442
2	MURALI RAMAKRI	Managing Direct	8,685,081				8,685,081
	Total		16,478,523				16,478,523

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	JIMMY MATHEW	Company Secre	3,189,520				3,189,520
2	CHITHRA HARIHA	CFO	2,965,290				2,965,290
	Total		6,154,810			0	6,154,810

Number of other directors whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	SALIM GANGADHA	NON-EXECUTI	0			200,000	200,000
	Total		0			200,000	200,000

**XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES**

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

**XII. PENALTY AND PUNISHMENT - DETAILS THEREOF**(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

**XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment**

☐ Yes ☒ No

(In case of 'No', submit the details separately through the method specified in instruction kit)

**XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES**

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

P.D. Vincent

Whether associate or fellow

☐ Associate ☒ Fellow

Certificate of practice number

7940

**I/We certify that:**

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.  
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

**Declaration**

I am Authorised by the Board of Directors of the company vide resolution no. ...

PERSECS71

dated

28/06/2012

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

**To be digitally signed by**

Director

DIN of the director

01028298

**To be digitally signed by**

- ☒ Company Secretary  
☐ Company secretary in practice

Membership number

Certificate of practice number

#### Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

Attach
Attach
Attach
Attach

#### List of attachments

Committee Meeting.pdf

**Remove attachment**

**Modify**

**Check Form**

**Prescrubny**

**Submit**

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

**FORM No. MGT-8**

[Pursuant to Section 92(2) of the Companies Act, 2013 and Rule 11(2) of Companies (Management and Administration) Rules, 2014]

**CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE**

We have examined the registers, records and books and papers of **The South Indian Bank Limited** (CIN: L65191KL1929PLC001017) (the Company) as required to be maintained under the Companies Act, 2013 (the Act) and the Rules made there under for the financial year ended on **31<sup>st</sup> March, 2021**. In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the Company, its officers and agents, and the representations made by the Management and considering the relaxations granted by the Ministry of Corporate Affairs and Securities and Exchange Board of India warranted due to the spread of the COVID-19 pandemic, we certify that:

- A. The Annual Return states the facts pertaining to the Companies Act, 2013 as at the close of the aforesaid financial year correctly and adequately.
- B. During the aforesaid financial year the Company has complied with provisions of the Act and Rules made there under in respect of:
1. Its status under the Act;
  2. Maintenance of registers/records and making entries therein within the time prescribed therefor;
  3. Filing of forms and returns, with the Registrar of Companies. No forms and returns were required to be filed with Regional Director, Central Government, the Tribunal, Court or other authorities. The particulars of forms filed during the aforesaid period are as per **Annexure I**;
  4. Calling/convening/holding meetings of Board of Directors and its committees and the meeting of the members of the Company on due dates as stated in the Annual Return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed. No resolutions were passed by way of postal ballot during the reporting period;

**SVJS & Associates**  
**Company Secretaries**



65 / 2364A

Ponoth Road, Kaloor

Kochi, Ernakulam - 682 017

[www.svjs.in](http://www.svjs.in)

[info@svjs.in](mailto:info@svjs.in) / [svjsassociates@gmail.com](mailto:svjsassociates@gmail.com)

+91 484 2950007

+91 484 2950009

5. Closure of register of members during the period;
6. The Company has not given any advances/loans to its directors and/or persons or firms or companies referred in Section 185 of the Act;
7. contracts/arrangements with related parties as specified in section 188 of the Act
8. Issue and allotment, transfer and transmission and issue of security certificates in all instances. There was no buy back of securities/redemption of preference shares or reduction of share capital/conversion of shares/securities. The Bank has paid principal along with interest to eligible bond holders in connection with redemption of 9.75% Unsecured Redeemable Non Convertible Subordinated Tier II Bonds (SIB Bonds 2009) bearing ISIN - INE683A09091 which reached maturity on 20.04.2020. Pursuant to Sections 13, 14, 61 and other applicable provisions of the Companies Act, 2013, and other relevant statutory provisions, the Authorised Capital of the Bank was increased from Rs.250 Crores to Rs.350 Crores at the Annual General Meeting held on 29.09.2020 and necessary returns were filed in this connection;
9. Keeping in abeyance the rights to dividend, rights shares (1250 Equity Shares) pending registration of transfer of shares based on Court Injunction and in compliance with the provisions of the Act;
10. The Reserve Bank of India, vide its circular dated April 17, 2020, has directed that banks shall not make any further dividend payouts from profits pertaining to the financial year ended March 31, 2020 until further instructions, with a view that the banks must conserve capital in an environment of heightened uncertainty caused by COVID-19 pandemic. Accordingly, no dividend was declared during the period. Transfer of unpaid/unclaimed dividend/other amounts as applicable were made to the Investor Education and Protection Fund in accordance with Section 125 of the Act.
11. Signing of audited financial statements as per the provisions of Section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
12. Constitution/appointment/re-appointment/retirement/disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;
13. Appointment of auditors as per the provisions of Section 139 of the Act;
14. The Company was not required to obtain approvals from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;

Also at Bangalore & Chennai

**SVJS & Associates**  
**Company Secretaries**



65 / 2364A  
Ponoth Road, Kaloor  
Kochi, Ernakulam - 682 017

www.svjs.in  
info@svjs.in / svjsassociates@gmail.com

+91 484 2950007  
+91 484 2950009

15. The Company being a Banking Company, the acceptance/renewal/repayment of deposits under Chapter V-Acceptance of deposits by Companies, and Rules made thereunder, are not applicable;
16. borrowings from banks, financial institutions and others. There were no borrowings from its directors or members. The Company was not required to create any charges during the period.
17. Being a Banking Company, the provisions of Section 186 are not applicable in respect of loan made, guarantee given or security provided or any investment made, in the ordinary course of its business;
18. Alteration of the provisions of the Memorandum and Articles of Association of the Bank.

This Certificate is to be read with **Annexure II** of even date and the same forms an integral part of this Certificate.

**For SVJS & Associates**  
Company Secretaries

JAYAN  
KATTIRI

Digitally signed by  
JAYAN KATTIRI  
Date: 2021.08.04  
16:37:02 +05'30'

**CS Jayan K.**  
**Partner**  
**CP No.: 7363, FCS: 8154**

Kochi  
04.08.2021

Also at Bangalore & Chennai



**SVJS & Associates**  
Company Secretaries



65 / 2364A

Ponoth Road, Kaloor  
Kochi, Ernakulam - 682 017

www.svjs.in  
info@svjs.in / svjsassociates@gmail.com

+91 484 2950007  
+91 484 2950009

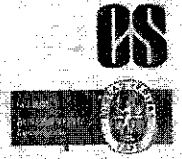
**Annexure I**

**Forms filed during the Financial Year 2020-21**

Sl. No.	Name of E-form	Purpose of E-form	Date of filing	Within the time/Not
1	MR-1	Return of appointment of managerial personnel  Appointment of Mr. Murali Ramakrishnan Managing Director	07/11/2020	Yes
2	AOC-4	Filing of Financial Statement for the FY 2019-20	17/11/2020	Yes
3	MGT-7	Annual Return for the FY 2019-20	03/12/2020	Yes
4	IEPF-1	Statement of amounts credited to IEPF	29/07/2020	Yes
5	IEPF-2	Statement of unclaimed and unpaid amounts	07/12/2020	Yes
6	IEPF-2	Cessation of Deputy Nodal Officer	24/07/2020	Yes
7	IEPF-2	Appointment of Deputy Nodal Officer	24/07/2020	Yes
8	IEPF-3	Statement of shares and unclaimed or unpaid dividend not transferred to the Investor Education and Protection Fund	30/04/2020	Yes
9	IEPF-4	Statement of shares transferred to IEPF	07/10/2020	Yes

Also at Bangalore & Chennai

# SVJS & Associates Company Secretaries



65 / 2364A

Ponoth Road, Kaloor

Kochi, Ernakulam - 682 017

www.svjs.in

info@svjs.in / svjsassociates@gmail.com

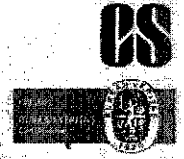
+91 484 2950007

+91 484 2950009

10	IEPF-4	Statement of shares transferred to IEPF	26/08/2020	Yes
11	SH-7	Notice to Registrar of Companies for increasing authorized share capital from 2,50,00,00,000 to 3,50,00,00,000	03/11/2020	No
12	DIR-12	1. Cessation of Mr. Variathukala George Mathew (Managing Director) w.e.f 30/09/2020 2. Appointment of Mr. Murali Ramakrishnan w.e.f 01/10/2020 as Managing Director	15/10/2020	Yes
13	DIR-12	Appointment of MR.SANKARANARAYANAN RADHAMANGALAM ANANTHARA(DIN: 05230407) w.e.f 15/10/2020 as additional director (Independent)	07/11/2020	Yes
14	DIR-12	1.Cessation of Mr. ACHAL KUMAR GUPTA w.e.f 29/09/2020 2.Appointment of Mr. PAUL ANTONY w.e.f 29/09/2020 as director	22/10/2020	Yes
15	DIR-12	Cessation of Mr. JOSEPH JOHN ALAPATT w.e.f 23/09/2020	21/10/2020	Yes
16	MSME 1	Form for furnishing half yearly return with the registrar of companies in respect of outstanding payments to Micro or Small Enterprises. October 2019 to March 2020	25/05/2020	No
17	MSME-1	Form for furnishing half yearly return with the registrar of companies in	23/10/2020	Yes

Also at Bangalore & Chennai

# SVJS & Associates Company Secretaries



65 / 2364A

Ponoth Road, Kaloor  
Kochi, Ernakulam - 682 017

[www.svjs.in](http://www.svjs.in)

[info@svjs.in](mailto:info@svjs.in) / [svjsassociates@gmail.com](mailto:svjsassociates@gmail.com)

+91 484 2950007  
+91 484 2950009

		respect of outstanding payments to Micro or Small Enterprises.  April 2020 to September 2020		
18	MGT-14	Filing Resolution and agreement with Registrar  1. Appointment of Managing Director and Chief Executive Officer  2. Appointment of KMP and fixation of remuneration.	01/10/2020	Yes
19	MGT-14	Filing Resolution and agreement with Registrar  Approval of Financial Statements by board	24/07/2020	Yes
20	MGT-14	Filing Resolution and agreement with Registrar  1. Raising of funds by issue of further equity shares  2. Raising of funds by issue of bonds or debentures or securities on private placement basis  3. For increasing the foreign investment limit	01/10/2020	Yes
21	MGT-14	Filing Resolution and agreement with Registrar  Investment in proposed subsidiary	28/10/2020	Yes
22	MGT-14	Filing Resolution and agreement with Registrar	21/10/2020	Yes

# SVJS & Associates Company Secretaries



65 / 2364A

Ponoth Road, Kaloor

Kochi, Ernakulam - 682 017

www.svjs.in

info@svjs.in / svjsassociates@gmail.com

+91 484 2950007

+91 484 2950009

		<p>1. Appointment of Managing Director and CEO.</p> <p>2. Variation in terms of SIB ESOS-2008.</p> <p>3. Issue of Options to the Managing Director.</p> <p>4. Invest in 9.70% U.P. POWER CORPORATION LIMITED Non-Convertible Debentures.</p> <p>5. Approval of Directors' Report.</p>		
23	MGT-14	<p>Filing Resolution and agreement with Registrar</p> <p>1. Appointment of Secretarial Auditor for the F.Y 2020-21.</p>	12/11/2020	Yes
24	MGT-14	<p>Filing Resolution and agreement with Registrar</p> <p>1. Approval to Increase Authorized capital from Rs.250,00,00,000/- to Rs.350,00,00,000/- by creation of additional 100,00,00,000 equity shares of 1/- each, and to amend Memorandum of Association accordingly.</p> <p>2. Approval for Ratification for amendment of Object clause of Memorandum of Association.</p>	02/11/2020	Yes

Also at Bangalore & Chennai

# SVJS & Associates Company Secretaries



65 / 2364A

Ponoth Road, Kaloor  
Kochi, Ernakulam - 682 017

www.svjs.in

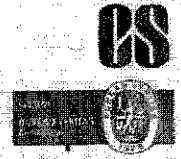
info@svjs.in / svjsassociates@gmail.com

+91 484 2950007  
+91 484 2950009

25	MGT 14	<p>Filing Resolution and agreement with Registrar</p> <p>1. To receive consider and adopt the Banks Audited Financial statements including audited Balance Sheet as on 31st March 2020 and Profit and Loss Account for the year ended on that date together with the reports of the Board of Directors and Auditors thereon.</p> <p>2. To appoint Mr. Paul Antony (DIN: 02239492) as Director of the Bank, liable to retire by rotation, in place of Mr. Achal Kumar Gupta (DIN: 02192183) who retires by rotation and does not offer himself for re-appointment.</p> <p>3. Ratification of appointment of M/s VARMA &amp; VARMA, Chartered Accountants as Statutory Central Auditors.</p> <p>4. Approval Authorising the Board to Appoint Branch Auditors for the financial year 2020-21.</p> <p>5. Re-appointment of Mr. Salim Gangadharan (DIN: 06796232) as Non-Executive Part time Chairman of the Bank and to approve his remuneration.</p> <p>6. Appointment of Mr. Murali Ramakrishnan (DIN: 01028298), as Managing Director and Chief Executive Officer of the Bank.</p>	22/10/2020	Yes
----	--------	---	------------	-----

Also at Bangalore & Chennai

# SVJS & Associates Company Secretaries



65 / 2364A

Ponoth Road, Kaloor

Kochi, Ernakulam - 682 017

www.svjs.in

info@svjs.in / svjsassociates@gmail.com

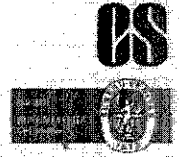
+91 484 2950007

+91 484 2950009

		<p>7. Approval for Raising of Tier I capital of the Bank through issuance of Securities for an amount not exceeding in aggregate Rs.750 Crore.</p> <p>8. Approval for Raising of funds by issue of bonds/ debentures/ securities on private placement basis for an amount not exceeding Rs.500.00 Crore</p> <p>9. Approval for increasing foreign investment limit under NRI/PIO category.</p> <p>10. Amendments in Employees Stock Option Scheme</p>		
26	MGT-14	<p>Filing Resolution and agreement with Registrar</p> <p>1.Approval to Increase Authorized capital from Rs.250,00,00,000/- to 350,00,00,000/- by creation of additional 100,00,00,000 equity shares of 1/- each, and to amend Memorandum of Association accordingly.</p> <p>2. Approval for Ratification for amendment of Object clause of Memorandum of Association.</p> <p>3. Approval for Amendment of Articles of Association.</p>	02/11/2020	Yes
27	MGT-15	Form for filing report on AGM	20/10/2020	Yes

Also at Bangalore & Chennai

# SVJS & Associates Company Secretaries



65 / 2364A

Ponoth Road, Kaloor

Kochi, Ernakulam - 682 017

www.svjs.in

info@svjs.in / svjsassociates@gmail.com

+91 484 2950007

+91 484 2950009

28	MGT-14	Board Meeting Resolution:  To create, offer, issue and allot 28,30,18,867 equity shares of Rs.1 each by way of preferential Allotment on a Private Placement basis.	19/03/2021	Yes
29	MGT-14	Extra ordinary General Meeting Resolution:  To create, offer, issue and allot 28,30,18,867 equity shares of Rs.1 each for a consideration not exceeding Rs.239,99,99, 992.16/- by way of preferential Allotment on a Private Placement basis.	23/03/2021	Yes
30	PAS 3	Return of allotment for allotment of 28,30,18,867 Equity shares of face value Rs.1/- at a premium of Rs.7.48/- aggregating to Rs.239,99,99,992.16/- .	27/03/2021	Yes

**For SVJS & Associates**  
Company Secretaries

JAYAN  
KATTIRI

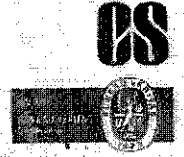
Digitally signed by  
JAYAN KATTIRI  
Date: 2021.08.04  
16:37:42 +05'30'

**CS Jayan K.**  
**Partner**  
**CP No.: 7363, FCS: 8154**

Kochi  
04.08.2021

Also at Bangalore & Chennai

**SVJS & Associates**  
**Company Secretaries**



65 / 2364A  
Ponoth Road, Kaloor  
Kochi, Ernakulam - 682 017

www.svjs.in  
info@svjs.in / svjsassociates@gmail.com

+91 484 2950007  
+91 484 2950009

**Annexure II**

**ANNEXURE TO MGT- 8 OF EVEN DATE**

Our Certificate of MGT 8 as per Section 92(2) of the Companies Act, 2013 read with Rule 11(2) of Companies (Management and Administration) Rules, 2014 of even date is to be read along with this Annexure.

1. Maintenance of the registers and records is the responsibility of the management of the Company. Our responsibility is to verify and to express an opinion on these records and their contents.
2. We have followed the practices and processes as were appropriate to obtain reasonable assurance about the correctness of the contents of the MGT 8 Certificate. We believe that the processes and practices we followed provide a reasonable basis for our certification.
3. The correctness and appropriateness of financial records and Books of Accounts of the Company have not been verified.
4. We have obtained representation from the Management of the Company about the compliance of laws, rules and regulations, happening of events etc., wherever required.
5. While forming an opinion on compliance and issuing the Certificate, we have also taken into consideration the compliance related actions taken by the Company after 31<sup>st</sup> March 2021 but before issue of the Certificate.
6. We have considered actions carried out by the Company based on independent legal/professional opinion as being in compliance with law, wherever there was scope for multiple interpretations.

**For SVJS & Associates**  
Company Secretaries

JAYAN  
KATTIRI

Digitally signed by  
JAYAN KATTIRI  
Date: 2021.08.04  
16:35:44 +05'30'

**CS Jayan K.**  
**Partner**  
**CP No.: 7363, FCS: 8154**

Kochi  
04.08.2021

Also at Bangalore & Chennai