

**DEPT : SECRETARIAL**  
**REF. No. : SEC/ST.EX.STT/ 17 /2023-24**  
**DATE : April 17, 2023**

National Stock Exchange of India Ltd., Exchange Plaza, 5th Floor, Plot No.C/1, G Block, Bandra-Kurla Complex, Bandra (E), Mumbai – 400 051. SCRIP CODE: SOUTHBANK	BSE Ltd. Department of Corporate Services (Listing), First Floor, New Trading Wing, Rotunda Building, P J Towers, Dalal Street, Fort,Mumbai – 400 001. SCRIP CODE: 532218
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Dear Sir/Madam,

**Sub:** Submission of Certificate as per Regulation 40(9) and Regulation 61(4) Of SEBI (LODR) Regulations, 2015 for the financial year Ended 31.03.2023

Please find enclosed the certificate dated April 17, 2023 issued by Mr. S. Ananthanarayan, Practicing Company Secretary, being the certificate of Compliance of Regulation 40(9), 40(10) and Regulation 61(4) of SEBI (LODR) Regulations, 2015, for the financial year ended 31.03.2023.

Yours faithfully,

(JIMMY MATHEW)  
COMPANY SECRETARY

Encl :a.a.

**National Stock Exchange of India Limited**

**BSE Limited, Mumbai**

**CERTIFICATE UNDER REGULATION 40(9) AND REGULATION 61(4) OF SEBI (LODR)  
REGULATIONS, 2015 FOR THE FINANCIAL YEAR ENDED MARCH 31, 2023**

I have examined all relevant records, Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to The SOUTH INDIAN BANK LIMITED (hereinafter termed as "the Bank/ the company") maintained by BTS Consultancy Services Private Limited, the Registrar and Share Transfer Agent (RTA) of the Bank, pertaining to Debt securities issued/ outstanding by the Bank during the financial year 2022-23 and with respect to transfer of equity shares of the Bank for the financial year from April 1, 2022 to March 31, 2023, for the purpose of issuing a Certificate as per Regulation 40(9) and Regulation 61(4) of the SEBI (LODR) Regulations, 2015 and based on the information provided by the Company, I hereby certify that:

- A. As the transfer of shares held in physical form has been barred by SEBI, the Board of Directors/Management Committee of the Bank have not processed any Share Transfer requests and consequently there arose no necessity for entry in the Memorandum of Transfers, for transfer of shares and issue of Share Certificate(s) thereof during the said period.
- B. the Company has delivered during the year ended on March 31, 2023, 998 Share Certificates received during the year from April 1, 2022 to March 31, 2023 as entered in the Memorandum of Transfers have been issued within thirty days of the date of lodgment for transfer, transmission, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed except those rejected on technical grounds.
- C. All the Debentures/ Bonds issued by the bank are in Demat form only, no physical debentures/ bond certificates were required to be delivered for the financial year ended March 31, 2023 pursuant to Regulation 61(4) read with Regulation 40(9) of the SEBI (LODR) Regulations, 2015.
- D. Any other information, if applicable. Nil

Chennai  
April 17, 2023



**S. ANANTHANARAYAN**  
Company Secretary  
CP1828, FCS 2713  
UDIN:: F002713E000114638