FATCA/CRS - Self Declaration Form for Non-Resident Clients - Controlling Person of Entity A/c.

(Mandatory for each Account/Joint Holder including POA, Guardian, Mandate holder, Beneficial Owner)

Note - The information in this section is being collected in order to fully comply with Foreign Account Tax Compliance Act (FATCA) / Common Reporting Standard (CRS) under Income Tax (11th Amendment) Rules, 2015 requirements. If you have any doubt/question about your tax residency, please contact your tax advisor.

Details Of Account Holder (All fields are Mandatory)

1. Please fill in BLOCK letters only.  2. Please leave one box blank between words.  3. Tick (✓) the appropriate boxes.

Name of Account:

Controlling person Type

(C01 - CP of legal person-ownership, C02 - CP of legal person-other means, C03 - CP of legal person-senior managing official, C04 - CP of legal arrangement-trust-settlor, C05 - CP of legal arrangement-trust-trustee, C06 - CP of legal arrangement-trust-protector, C07 - CP of legal arrangement-trust-beneficiary, C08 - CP of legal arrangement-trust-other equivalent, C09 - CP of legal arrangement-Other-settlor equivalent, C10 - CP of legal arrangement-Other-trustee equivalent, C11 - CP of legal arrangement-Other-protector equivalent, C12 - CP of legal arrangement-Other-beneficiary equivalent, C13 - CP of legal arrangement-Other-other equivalent, C14 - Unknown)

I) Name of Beneficial owner

First Middle Last

II) Gender

M= Male,  F= Female,  O= Others

III) Birth Date

IV) Nationality (2 digit code as per ISO3166 List)

V) Address Type

(1= Residential/Business, 2= Residential, 3= Business, 4= Registered office, 5= Unspecified)

VI) Address: Complete address with House No., building name, street, locality, city, state etc.

VII) City /Town Name of city/town village:

VIII) Postal Code

(6 digit Pin code as per India Posts. In case of outside countries, respective code may be used. If Pin code is not available, use xxxxxx)

IX) State Code

(2 digit code as per Indian Motor vehicle act. In case of outside India, or state code is not available, use XX)

X) Country code

(The country code as per ISO 3166. Use IN for India. If country code is not available, use xx)

XI) Identification Type

(A- Passport, B- Election ID, C- PAN card, D- ID card, E- Driving licence, G- UIDAI letter, H-NREGA job card, Z- Others, X- Not categorized)

Signature
XII) Identification No: (Number mentioned in ID document). This information is Mandatory if no PAN or AADHAAR number is not reported

XIII) Father's Name: ____________________________  ____________________________  ____________________________

First    Middle    Last

XIV) Spouse Name(if married) ____________________________  ____________________________  ____________________________  ____________________________

XV) PAN ____________________________

AADHAAR ____________________________

XVI) Occupation (specify occupation of Individual) ____________________________  ____________________________  ____________________________

XVII) Country of residence as per tax laws: (2 digit code as per ISO3166 List)

XVII) TIN issued by tax resident country and

1. ____________________________  ____________________________  ____________________________

2. ____________________________  ____________________________  ____________________________

3. ____________________________  ____________________________  ____________________________

XIX) TIN issuing country (2 digit code as per ISO3166 List) ____________________________

XX) Place of birth ____________________________

XXI) Country of birth: (2 digit code as per ISO3166 List) ____________________________

XXII) Telephone No and mobile No. with code ____________________________  ____________________________  ____________________________

Part B - Declaration

1. I hereby certify that I am not tax resident in, or citizen of, any other country besides those listed above.

2. I declare that all statements made in this Declaration are, to the best of my knowledge and belief, correct and complete.

3. I undertake to advise the bank promptly of any change in circumstances, which causes the information contained herein to become incorrect and to provide the bank with a suitably updated Declaration within 30 days of such change in circumstances.

4. I authorize the bank to provide, directly or indirectly, to any relevant tax authorities/government authorities and/or other regulatory authorities locally/internationally or any party authorized to audit or conduct a similar control of the bank for tax purposes, the information contained in this Form and to disclose to such tax authorities or such party any additional information that the bank may have in its possession.

5. I certify that I am authorized (POA holder) to sign for the individual who is the beneficial owner of all the income to which this form relates and/or am using this form to document myself as an individual who is the Account Holder. In the event if the bank is put to any hardships or claims from any authorities due to any false, untrue or misleading representation/information furnished by me as contained herein, I shall be solely liable and responsible for the same and I undertake to indemnify Bank against any loss or damage suffered by the Bank.

Signature of Customer ____________________________

Date: ____________________________

Seal & Signature of Branch Official ____________________________

Details under FATCA / Foreign tax laws (See instructions)

Instructions

Details under FATCA/Foreign Tax Laws: Towards compliance with tax information sharing laws, such as FATCA, we would be required to seek additional personal, tax and beneficial owner information and certain certifications and documentation from our account holders. Such information may be sought either at the time of account opening or any time subsequently. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with relevant tax authorities. If you have any questions about your tax residency, please contact your tax advisor. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days. Towards compliance with such laws, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. As may be required by domestic or overseas regulators/ tax authorities, we may also be constrained to withhold and pay out any sums from your account or close or suspend your account(s). If you are a US citizen or resident or green card holder, please include United States in the foreign country information field along with your US Tax Identification Number. Foreign Account Tax Compliance provisions (commonly known as FATCA) are contained in the US Hire Act 2010.